EXHIBIT A

12/22/2004

RECEIVED **CENTRAL FAX CENTER**

NO.174

PØ1

DEC 2 3 2004

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re Application of: Steve Hole

Art Unit:

2144

Serial No.:

09/765,927

Examiner:

Brown, James Lee

Filed:

January 19, 2001

MESSAGINGDIR + 12123101677

For:

Message Tracking System and Method

Commissioner for Patents Washington, D.C. 20231

Declaration Under 37 C.F.R. \$1.131

Steve Hole, a resident of Edmonton, Canada, declares as follows:

- I am the inventor of the subject matter claimed in the above-identified patent 1. application.
- I conceived and reduced to practice in Canada the invention claimed in the above-2. identified patent application prior to April 14, 2000, the earliest filing date of the cited Unites States Patent Application Publication No. 2001/0042131 to Mathon et al ("Mathon et al.").
- Attached as Exhibit A is a copy of sample pages from the computer source code that 3. constitutes a reduction to practice of the invention claimed in the above-identified patent application prior to the filing date of Mathon et al. Exhibit A includes commentary pages from that computer source code. For example, page 1 describes "M-Builder" that includes a set of tools and libraries, which implement the invention claimed in the above-identified patent application. Similarly, page 2 explains the "Transaction Message Reception API," and page 4 explains the "Message tracking subsystem" in that computer source code.



12/22/2004

15:19

MESSAGINGDIR > 12123101677

NO.174 D02

- 4. That computer source code was completed after December 8, 1993 in Canada is a NAFTA and WTO country.
- 5. Exhibit A, which relates to the aforementioned conception and actual reduction to practice prior to the filing date of the Mathon et al., corresponds to the invention broadly disclosed and claimed in the above-identified patent application.
- 6. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: 21 Dec of

Steve Hole

M-Builder is a set of tools and libraries for creating applications that deliver complex data over the Internet. Data is transmitted using "transaction messaging" that is:

- * Secure message content (payload) can be protected, verified and authenticated for and during transmission.
- * Reliable message delivery or non-delivery can be guaranteed to specific addresses.
- * Traceable the status of a particular message can be determined at any time.

Legacy applications are extended to communicate with remote applications or users by integrating the M-Builder transaction messaging components. M-Builder includes a set of easy to use programmatic interfaces for:

- * Creating and submitting messages of arbitrary complexity and payload type.
- * Requesting various levels of security, reliability and traceability on messages.
- * Receiving and extracting data from messages of arbitrary complexity and payload type.
- * Automatically handling security and tracking requirements on incoming messages.

M-Builder interfaces are supported on the majority of common platforms including Unix, Windows and Macintosh, and in a number of common high and low level programming languages including C/C++, Tcl/Tk, and Perl.

4. Transaction Message Reception API Description **Peature** D-Date Cost Tasks Application library that implements a mailbox Recieve mailbox monitor 1 d * monitor setup/teardown API A mailbox monitor connects to and monitor. * mailbox connector modules 3 d monitors mailboxes for incoming messages, pulls * message decomposition modules 3 d the messages from the mailbox, decomposes the 5 d * notification dispatch manager messages (including performing all security 5 d * module test harness operations) and then initiates event notifications 10 d * integrate into platform suite for all application components that have registered an interest in messages of this type. C/C++ language implementation of the C/C++ event manager API module * C event notification interface These also make up the core modules event API. 1 d * C++ wrapper class for the scripting level languages Tcl and Perl. 2 d * integrate into platform suite Receive events occur when a mailbox monitor 1 d * module test harnesses notifies an application that a message with a specific set of characteristics (MIME type, originator address etc.) arrives in one of the monitored mailboxes. This API defines the interface by which notifications can be made to an application. It includes registration and callback interfaces. Tcl/Tk event manager API moduleTcl language implementation of the event manager API. * implement Tcl extension module 2 d * integrate into platform suite * module 3 d test harnesses Perl language implementation of the event Perl event manager API module * implement Perl extension module APT. 2 d * integrate into platform suite * module 2 d test harnesses Java language implementation of the event Java event manager API module implement native Java class manager 10 d * integrate into platform suite * module 5 d test harnesses

Transaction reception API user guide User guide describing how API can be used, how applications are constructed and what tools

are

available with the toolkit.

5. Message tracking subsystem Description Feature D-Date Cost Tasks Message tracking database Database for managing submitted message * define database schema 4 h status. This includes the current status of the message, 3 d * database management API details about error conditions (if any) and * module test harnesses 1 d message transition timing information. Register outbound messages in the tracking Message tracking registration * extend message submission API to register 1 d database. This enables tracking status to be updated and reports generated for a messages. Tracking monitor collects asynchronous Message tracking monitor * DSN message handler 2 4 tracking notifications from mailboxes and automatically * MDN message handler 2 d updates message status in the tracking database. * tracking database status update 1 d * monitor 2 d daemon application API for executing status queries against Message tracking agent API tracking database on behalf of an authorized user. Generate reports based on business rules. Message tracking report generator Reports can include alerts for certain kinds of failures. Reports are generated based on criteria like: bounced (rejected) messages, delayed (in transit) messages, lost messages. Message tracking agent GUI UI for querying the status of one or more messages directly from the database. Includes support for initiating report generation.